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# UNIVERSAL ARTIFICIAL INTELLIGENCE

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## Abstract

The dream of creating artificial devices that reach or outperform human intelligence is many centuries old. In this talk I present an elegant parameter-free theory of an optimal reinforcement learning agent embedded in an arbitrary unknown environment that possesses essentially all aspects of rational intelligence. The theory reduces all conceptual AI problems to pure computational questions. The necessary and sufficient ingredients are Bayesian probability theory; algorithmic information theory; universal Turing machines; the agent framework; sequential decision theory; and reinforcement learning, which are all important subjects in their own right. I also present some recent approximations, implementations, and applications of this modern top-down approach to AI.

# Overview

**Goal:** Construct a single universal agent  
that learns to act optimally in any environment.

**State of the art:** Formal (mathematical, non-comp.) definition  
of such an agent.

**Accomplishment:** Well-defines AI. Formalizes rational intelligence.  
Formal “solution” of the AI problem in the sense of ...

⇒ Reduces the conceptual AI problem  
to a (pure) computational problem.

**Evidence:** Mathematical optimality proofs  
and some experimental results.

# Contents

- Philosophical and Mathematical Background.
- Universal Intelligence .
- Discussion.
- Summary and References.

# **PHILOSOPHICAL AND MATHEMATICAL BACKGROUND**

# What is (Artificial) Intelligence?

Intelligence can have many faces  $\Rightarrow$  formal definition difficult

- reasoning
- creativity
- association
- generalization
- pattern recognition
- problem solving
- memorization
- planning
- achieving goals
- learning
- optimization
- self-preservation
- vision
- language processing
- classification
- induction
- deduction
- ...

<b>What is AI?</b>	<b>Thinking</b>	<b>Acting</b>
<b>humanly</b>	Cognitive Science	Turing test, Behaviorism
<b>rationally</b>	Laws Thought	Doing the Right Thing

Collection of 70+ Defs of Intelligence

<http://www.vetta.org/>

[definitions-of-intelligence/](http://www.vetta.org/definitions-of-intelligence/)

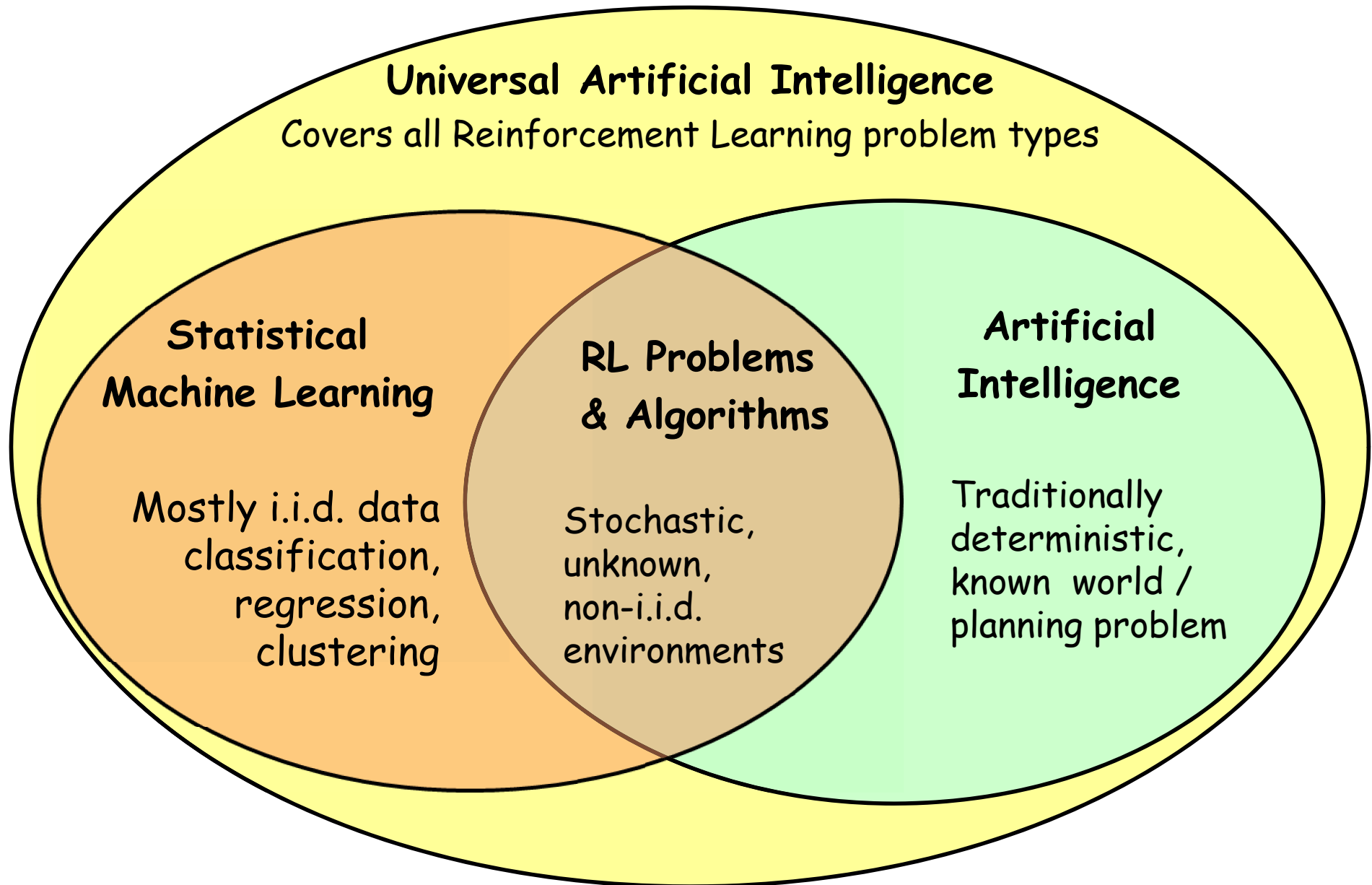
**Real world is nasty:** partially unobservable, uncertain, unknown, non-ergodic, reactive, vast, but luckily structured, ...

# Relevant Research Fields

(Universal) Artificial Intelligence has interconnections with (draws from and contributes to) many research fields:

- **computer science** (artificial intelligence, machine learning),
- **engineering** (information theory, adaptive control),
- **economics** (rational agents, game theory),
- **mathematics** (statistics, probability),
- **psychology** (behaviorism, motivation, incentives),
- **philosophy** (reasoning, induction, knowledge).

# Relation between ML & RL & (U)AI





## There is an Elegant Theory of ...

Cellular Automata	⇒	... Computing
Iterative maps	⇒	... Chaos and Order
QED	⇒	... Chemistry
Super-Strings	⇒	... the Universe
<b>Universal AI</b>	⇒	<b>... Super Intelligence</b>

# Informal Definition of (Artificial) Intelligence

Intelligence measures an agent's ability to achieve goals in a wide range of environments. [S. Legg and M. Hutter]

**Emergent:** Features such as the ability to learn and adapt, or to understand, are implicit in the above definition as these capacities enable an agent to succeed in a wide range of environments.

The science of **Artificial Intelligence** is concerned with the construction of intelligent systems/artifacts/agents and their analysis.

**What next?** Substantiate all terms above: agent, ability, utility, goal, success, learn, adapt, environment, ...

Never trust a ~~theory~~ if it is not supported by an ~~experiment~~  
**experiment** **theory**

# Induction → Prediction → Decision → Action

Having or acquiring or *learning* or *inducing* a model of the environment an agent interacts with allows the agent to make *predictions* and utilize them in its *decision* process of finding a good next *action*.

**Induction** infers general models from specific observations/facts/data, usually exhibiting regularities or properties or relations in the latter.

## Example

**Induction:** Find a model of the world economy.

**Prediction:** Use the model for predicting the future stock market.

**Decision:** Decide whether to invest assets in stocks or bonds.

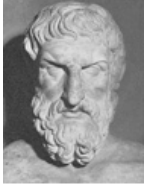
**Action:** Trading large quantities of stocks influences the market.

# Foundations of Universal Artificial Intelligence



## Ockhams' razor (simplicity) principle

Entities should not be multiplied beyond necessity.



## Epicurus' principle of multiple explanations

If more than one theory is consistent with the observations, keep all theories.



## Bayes' rule for conditional probabilities

Given the prior belief/probability one can predict all future probabilities.

$\text{Posterior}(H|D) \propto \text{Likelihood}(D|H) \times \text{Prior}(H)$ .



## Turing's universal machine

Everything computable by a human using a fixed procedure can also be computed by a (universal) Turing machine.



## Kolmogorov's complexity

The complexity or information content of an object is the length of its shortest description on a universal Turing machine.



## Solomonoff's universal prior = Ockham + Epicurus + Bayes + Turing

Solves the question of how to choose the prior if nothing is known.  $\Rightarrow$   
universal induction, formal Ockham.  $\text{Prior}(H) = 2^{-\text{Kolmogorov}(H)}$

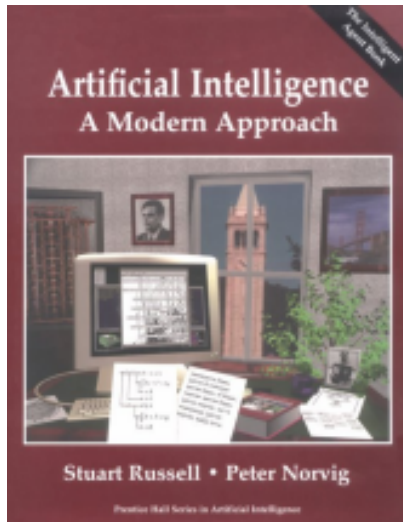


## Bellman equations

Theory of how to optimally plan and act in known environments.

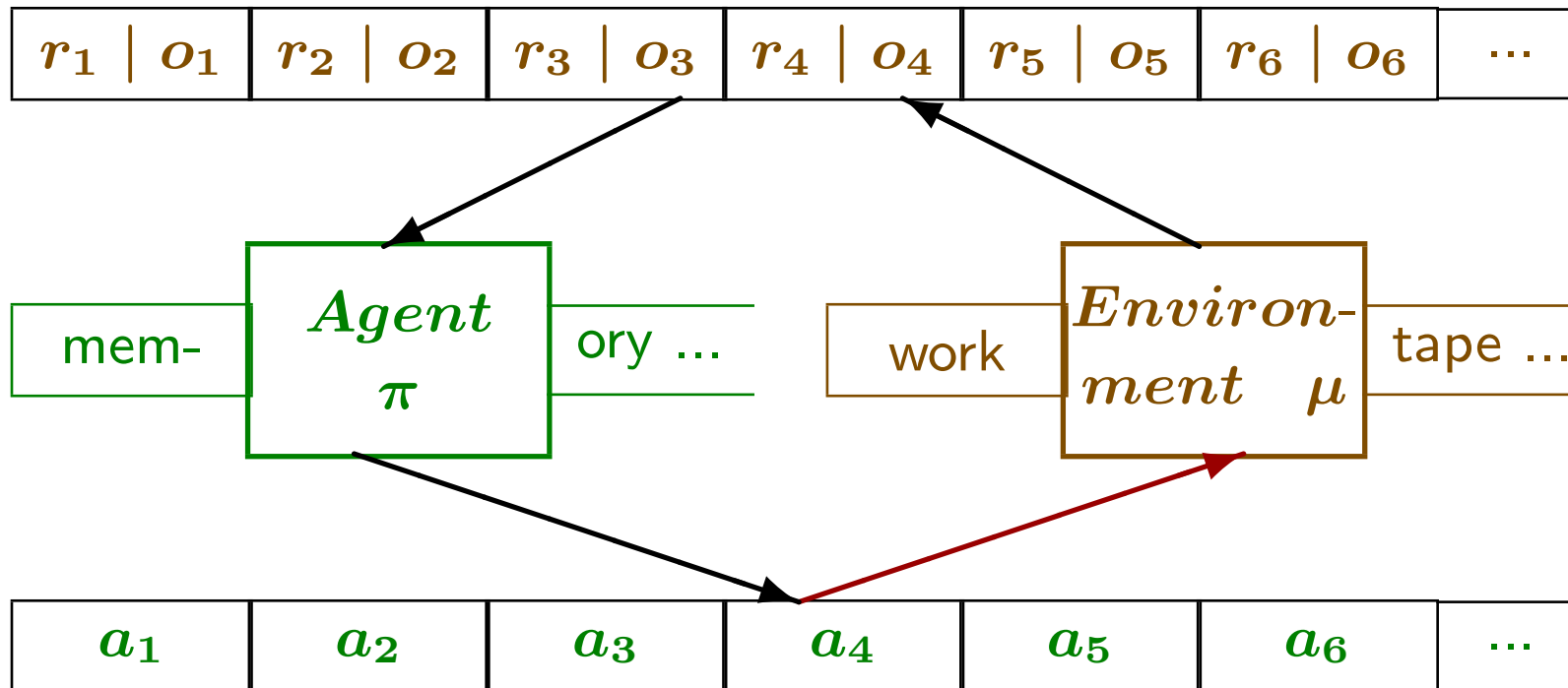
**Solomonoff + Bellman = Universal Artificial Intelligence.**

# UNIVERSAL INTELLIGENCE



# Agent Model with Reward

Most if not all AI problems can be formulated within the agent framework



# Reinforcement Learning is Extremely General

works in animals, humans, robots, and software agents

- playing games such as checkers, backgammon, go, jeopardy, ...
- playing sports such as soccer, tennis, ...
- learning languages, recognizing faces
- flying a helicopter, driving a car
- navigating a robot through a maze
- planning and scheduling tasks
- making money on the stock market
- answering questions on an IQ test
- passing a Turing test
- ...

# Formal Definition of Intelligence

- Agent follows **policy**  $\pi : (\mathcal{A} \times \mathcal{O} \times \mathcal{R})^* \rightsquigarrow \mathcal{A}$
- **Environment** reacts with  $\mu : (\mathcal{A} \times \mathcal{O} \times \mathcal{R})^* \times \mathcal{A} \rightsquigarrow \mathcal{O} \times \mathcal{R}$
- **Performance** of agent  $\pi$  in environment  $\mu$   
 = expected cumulative reward =  $V_{\mu}^{\pi} := \mathbb{E}_{\mu}^{\pi} [\sum_{t=1}^{\infty} r_t^{\pi\mu}]$
- True environment  $\mu$  **unknown**  
 $\Rightarrow$  average over wide range of environments
- **Ockham+Epicurus**: Weigh each environment with its  
**Kolmogorov complexity**  $K(\mu) := \min_p \{ \text{length}(p) : U(p) = \mu \}$
- **Universal intelligence** of agent  $\pi$  is  $\Upsilon(\pi) := \sum_{\mu} 2^{-K(\mu)} V_{\mu}^{\pi}$ .
- **Compare to our informal definition**: Intelligence measures an agent's ability to perform well in a wide range of environments.
- **AIXI** =  $\arg \max_{\pi} \Upsilon(\pi)$  = most intelligent agent.



# Is Universal Intelligence $\Upsilon$ any Good?

- Captures our informal definition of intelligence.
- Incorporates Occam's razor.
- Very general: No restriction on internal working of agent.
- Correctly orders simple adaptive agents.
- Agents with high  $\Upsilon$  like AIXI are extremely powerful.
- $\Upsilon$  spans from very low intelligence up to ultra-high intelligence.
- Practically meaningful: High  $\Upsilon$  = practically useful.
- Non-anthropocentric: based on information & computation theory. (unlike Turing test which measures humanness rather than int.)
- Simple and intuitive formal definition: does not rely on equally hard notions such as creativity, understanding, wisdom, consciousness.

$\Upsilon$  is valid, informative, wide range, general, dynamic, unbiased, fundamental, formal, objective, fully defined, universal.

# The AIXI Model in one Line

complete & essentially unique & limit-computable

$$\text{AIXI: } a_k := \arg \max_{a_k} \sum_{O_k r_k} \dots \max_{a_m} \sum_{O_m r_m} [r_k + \dots + r_m] \sum_{p: U(p, a_1 \dots a_m) = O_1 r_1 \dots O_m r_m} 2^{-\text{length}(p)}$$

$k$ =now, **action**, **observation**, **reward**, **Universal TM**, **program**,  $m$ =lifespan

**AIXI** is an elegant mathematical theory of general AI,

but incomputable, so needs to be approximated in practice.

**Claim:** AIXI is the most intelligent environmental independent, i.e. universally optimal, agent possible.

**Proof:** For formalizations, quantifications, and proofs, see [Hut05].

**Potential Applications:** Agents, Games, Optimization, Active Learning, Adaptive Control, Robots.

# DISCUSSION

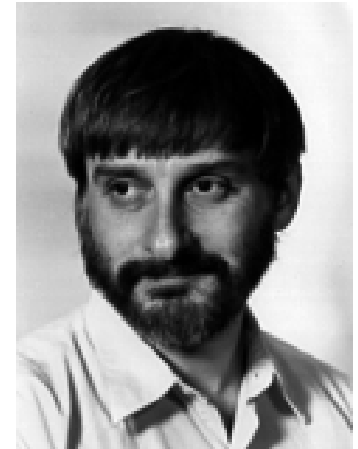
# Some Important Problem Classes

- **Sequence Prediction**, e.g. weather or stock-market prediction.  
Strong result: number of “errors”  $\propto K(\mu) = \text{small}$ .
- **Strategic Games**: Learn to play well (**minimax**) strategic zero-sum games (like chess) or even exploit limited capabilities of opponent.
- **Optimization**: Find (approximate) minimum of function with as few function calls as possible. Difficult **exploration versus exploitation** problem.
- **Supervised learning**: Learn functions by presenting  $(z, f(z))$  pairs and ask for function values of  $z'$  by presenting  $(z', ?)$  pairs.  
Supervised learning is much **faster than reinforcement learning**.

AIXI quickly learns to **predict**, **play games**, **optimize**, **learn supervised**.

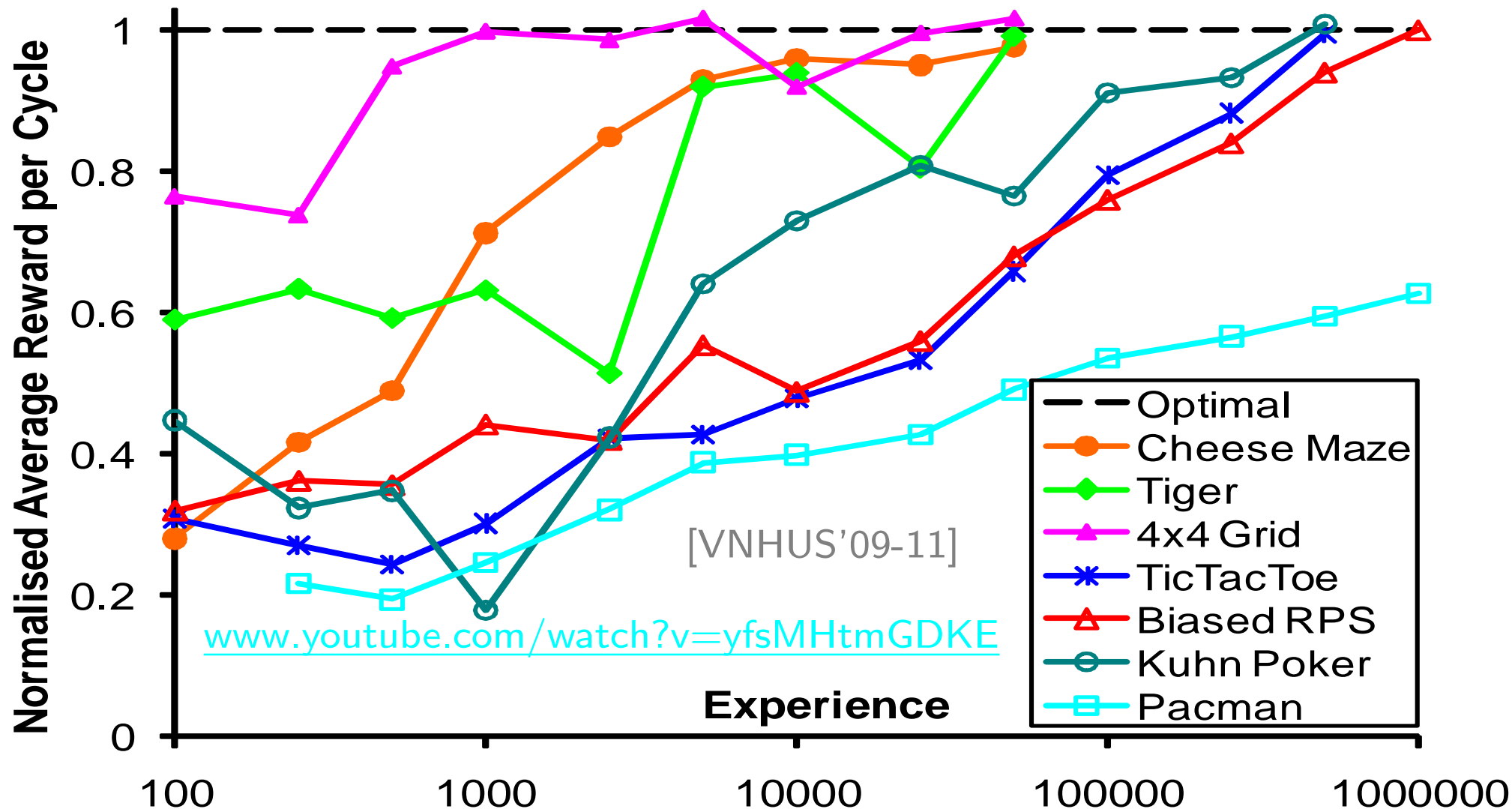
# Approximations

- **Levin search:** Fastest algorithm for inversion and optimization problems.
- **Theoretical application:**  
Assume somebody found a non-constructive proof of  $P=NP$ , then Levin-search is a polynomial time algorithm for every NP (complete) problem.
- **Practical (OOPS) applications** (J. Schmidhuber)  
Mazes, towers of hanoi, robotics, ...
- **FastPrg:** The asymptotically fastest and shortest algorithm for all well-defined problems.
- **Computable Approximations of AIXI:**  
 $AIXItl$  and  $AI\xi$  and MC-AIXI-CTW and  $\Phi$ MDP.
- **Human Knowledge Compression Prize:** (50'000€)



# Monte-Carlo AIXI Applications

without providing any domain knowledge, the same agent is able to self-adapt to a diverse range of interactive environments.



# Aspects of Intelligence

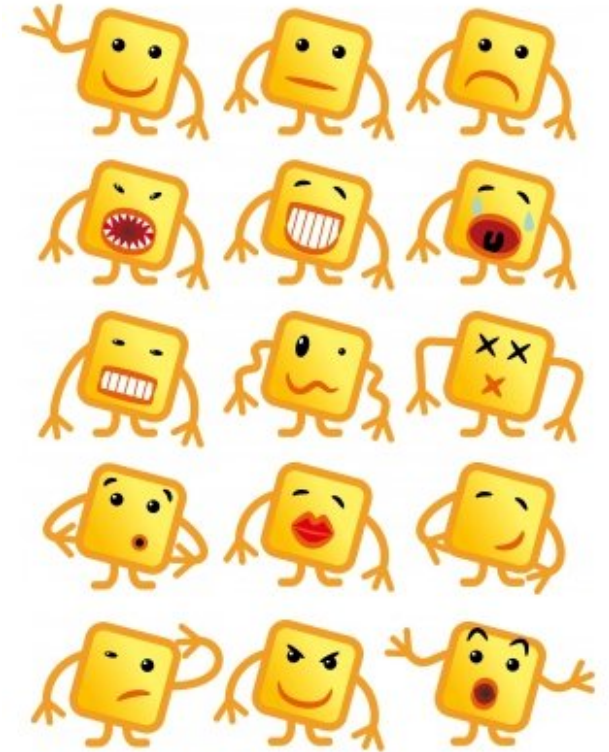
are all(?) either directly included in AIXI or are emergent

<u>TRAIT OF INTELL.</u>	<u>HOW INCLUDED IN AIXI</u>
reasoning	to improve internal algorithms (emergent)
creativity	exploration bonus, randomization, ...
association	for co-compression of similar observations
generalization	for compression of regularities
pattern recognition	in perceptions for compression
problem solving	how to get more reward
memorization	storing historic perceptions
planning	searching the expectimax tree
achieving goals	by optimal sequential decisions
learning	Bayes-mixture and belief update
optimization	compression and expectimax
self-preservation	by coupling reward to robot components
vision	observation=camera image (emergent)
language	observation/action = audio-signal (emergent)
motor skills	action = movement (emergent)
classification	by compression
induction	Universal Bayesian posterior (Ockham's razor)
deduction	Correctness proofs in AIXI <i>tl</i>

# Other Aspects of the Human Mind



- Consciousness
- Self-awareness
- Sentience
- Emotions



If these qualia are relevant for rational decision making,  
then they should be emergent traits of AIXI too.



# Origin of Rewards and Universal Goals

- Where do rewards come from if we don't (want to) provide them.
- **Human interaction:** reward the robot according to how well it solves the tasks we want it to do.
- **Autonomous:** Hard-wire reward to predefined task:  
E.g. Mars robot: reward = battery level & evidence of water/life.
- Is there something like a **universal goal**
- **Curiosity-driven learning** [Sch07]
- **Knowledge seeking agents** [Ors11, OLH13]

## Mortal Embodied (AIXI) Agent

- **Robot in human society:** reward the robot according to how well it solves the tasks we want it to do, like raising and safeguarding a child. In the attempt to maximize reward, the robot will also maintain itself.
- **Robot w/o human interaction (e.g. on Alpha-Centauri):**  
Some rudimentary capabilities (which may not be that rudimentary at all) are needed to allow the robot to at least survive.  
Train the robot first in safe environment, then let it loose.
- **Drugs (hacking the reward system):**  
No, since long-term reward would be small (death). but see [OR11]
- **Replication/procreation:** Yes, if AIXI believes that clones or descendants are useful for its own goals (ensure retirement pension).
- **Suicide:** Yes (No), if AIXI can be raised to believe to go to heaven (hell). see also [RO11]
- **Self-Improvement:** Yes, since this helps to increase reward.
- **Manipulation:** Any Super-intelligent robot can manipulate or threaten its teacher to give more reward.

## Some more Social Questions

- **Attitude:** Are pure reward maximizers egoists, *psychopaths*, and/or killers or will they be *friendly* (*altruism* as extended *ego(t)ism*)?
- **Curiosity** killed the cat and maybe AIXI, or is extra reward for curiosity necessary? [Sch07, Ors11, LHS13]
- **Immortality** can cause laziness! [Hut05, Sec.5.7]
- Can **self-preservation** be learned or need (parts of) it be innate.  
see also [RO11]
- **Socializing:** How will AIXI interact with another AIXI?  
[Hut09, Sec.5j],[PH06]

# Creativity – An Algorithmic View

- **Definition:** the process of producing something original&worthwhile.
- **The process:** combining and modifying existing thoughts or artifacts in novel ways, driven by random choice and filtering out bad results.
- **Analogy:** Ecosystems appear to be creatively designed, but blind evolutionary process was sufficient.
- Solving complex problems requires (apparent) creativity.
- Since AIXI is able to solve complex problems, it will appear creative.
- **Analogy:** Brute-force MiniMax chess programs appear to make (occasionally) creative moves.
- Creativity emerges from long-term reward maximization.
- **Science**  $\approx$  finding patterns  $\approx$  **Compression**  
is creative process is formal procedure
- **Exploratory actions** can appear creative.
- **Fazit:** Creativity is just exploration, filtering, and problem solving.

# **SUMMARY AND REFERENCES**

# Universal Artificial Intelligence (AIXI)

||

Decision Theory = Probability + Utility Theory

+

Universal Induction = Ockham + Bayes + Turing

+

## Involved Scientific Areas

- reinforcement learning
- information theory
- theory of computation
- Bayesian statistics
- sequential decision theory
- adaptive control theory
- Solomonoff induction
- Kolmogorov complexity
- Universal search
- and many more

# Summary

## Problem:

Specialised intelligent systems are already pervasive, but *general* ones are still out of reach.

## Insight:

We have developed *unified* information-theoretic foundations for intelligent agents.

## Impact:

The developed theory is a prerequisite for the development of *more flexible, adaptive, robust, reliable, and secure software/systems that our modern society needs*, and provides a gold standard and valuable guidance for researchers working on smart software.

# Introductory Literature

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